

THE COMPLIANCE REGISTER LIMITED
Press Office
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PRESS RELEASE 09 DECEMBER 2008

THE 2008 COMPLIANCE REGISTER OStCaR AWARDS & CHRISTMAS PARTY

The Compliance Register Awards for “Outstanding Service to Compliance and Regulation” was held on Monday 8 December at the 5-Star Landmark Hotel in London. Besides rewarding excellence, The OStCaRs also raises much needed funds for various charities such as BBC Children In Need, Grand Order of Water Rats and Children In Touch.

The OStCaRs opened to a packed enthusiastic audience and the action-packed programme for the evening proved to be a hit. Ben Goh, Secretary of the Compliance Register, stunned the audience with his dance routine choreographed to the tune “Sexy Boy” which culminated him exposing his “Austin Powers” fake chest hair. The mood then changed with Ben singing “When a Child is Born” to send a message of hope and peace to all. In line with a tradition, a music album “The End of The World Part One” was also released on the night with the sale proceeds going to charity. This album featured Ben Goh’s debut as a solo artist under the stage name OBenGohWan with iconic guests Bucks Fizz and The Bachelors.

The OStCaR Awards were most ably conducted by TV Personality Cheryl Baker covering some 24 categories. The presenters included many industry figures such as Tim Herrington who chairs the FSA’s Regulatory Decisions Committee. John Tattersall of PwC won the Hall of Fame Lifetime Achievement Award. Anthony Belchambers of Futures & Options Association won The Compliance Register Personality of the Year from the votes of the live audience.

In terms of entertainment, there was a fantastic line up featuring “An audience with the stars” with Las Vegas-style show girls, comedians Adger Brown and Barry Cryer OBE, industry rock band The Source featuring singers from FSA, Ernst & Young, Prudential and Priest & Co. The evening ended with a great disco provided by top DJ Radics.

For further information on any of the above, please see www.ComplianceR.com or contact Ben Goh on (01908) 322450.

Ends

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LIST OF WINNERS

Section A (For Firms)

(Category A1) Best Financial Advice Award

Sponsor: Professional Adviser

Winner: TMS Financial Solutions Ltd

Shortlisted: Hargreaves Lansdown

(Category A2) Best Technology Services Award

Sponsor: Chirin Capital

Winner: Fidessa LatentZero

Shortlisted: Datanomic

(Category A3) Best Compliance Award

Sponsor: Financial Services Compliance (EU) Ltd

Winner: International Financial Data Services

Shortlisted: Royal Skandia

(Category A4) Best Recruitment Services Award

Sponsor: Financial Services Compliance (EU) Ltd

Winner: Robert Walters

Shortlisted: Michael Page

(Category A5) Best Compliance Consultancy Services Award

Sponsor: Eversheds

Winner: Deloitte & Touche

Shortlisted: Bovill Limited

(Category A6) Best Legal Services Award - Litigation, Investigation & Enforcement Work

Sponsor: The Compliance Register

Winner: Peters & Peters

Shortlisted: Denton Wilde Sapte

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(Category A7) Best Legal Services Award – Regulatory & Commercial

Sponsored by Priest & Co. Ltd

Winner: Eversheds

Shortlisted: White & Case

(Category A8) Best Outsourcing Services Award

Sponsor: Priest & Co. Ltd

Winner: International Financial Data Services

Shortlisted: Compliancy Services Ltd.

(Category A9) Best Training Services Award

Sponsor: Chirin Capital

Winner: Corporate Training Partnership

Shortlisted: Eukleia Training

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Section B (For Individuals)

(Category B1) Most Efficient/Helpful FSA Staff Award

Sponsor: Futures & Options Association

Winner: Katie Hughes

Shortlisted: Bob Greed

(Category B2) New Compliance Officer/MLRO of the Year

Sponsor: Eukleia Training

Winner: Amruta Patel, Aerion Fund Management

Shortlisted: David Skade, Calyon

(Category B3) Best Compliance Author/Journalist Award

Sponsor: The Compliance Register

Winner: Annie Mills, Standard Bank

Shortlisted: Sue Berwick, Compliance OnLine

(Category B4) Most Innovative Fraud Prevention Officer Award

Sponsor: The Compliance Register

Winner: Clement Abrew, UBS

Shortlisted: Terry Lawley, SJ Berwin

(Category B5) Best Compliance Trainer Award

Sponsor: Financial Services Compliance (EU) Ltd

Winner: Andy Thompsett, Enterprise Learning

Shortlisted: Dylan Stokes, Barclays Capital

(Category B6) Best Compliance Officer/Staff Award – Outstanding Leadership/Dedication

Sponsor: Denton Wilde Sapte

Winner: Angus Paterson, NFU Mutual Insurance Society

Shortlisted: Graeme Mitchell, Artemis Investment Management

(Category B7) Best Compliance Officer/Staff Award – Most Added Value.

Sponsor: Enterprise Learning

Winner: Annie Mills, Standard Bank

Shortlisted: Ben Hur, NIBC Bank

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(Category B8) Best Compliance Consultant Award

Sponsor: The Compliance Register

Winner: Nikki Lovejoy, Deloitte

Shortlisted: Sophie Espinasse, Enterprise Learning

(Category B9) Best Legal Advisor Award – Litigation, Investigation and Enforcement Work.

Sponsor: The Compliance Register

Winner: Charles Evans, Norton Rose

Shortlisted: Monty Raphael, Peters & Peters

(Category B10) Best Legal Advisor Award – Regulatory & Commercial.

Sponsor: The Compliance Register

Winner: Charlotte Hill, Stephenson Harwood

Shortlisted: Jonathan Herbst, Norton Rose

(Category B11) Best MLRO Award – Outstanding Leadership/Dedication

Sponsor: The Compliance Register

Winner: Stephen Hancock, Prudential Assurance Company

Shortlisted: Clive Shelton, IFDS

(Category B12) Best MLRO Award – Most Added Value.

Sponsor: The Compliance Register

Winner: David Skade, Calyon

Shortlisted: Clive Shelton, IFDS

(Category B13) Best Chief Executive/MD Award.

Sponsor: Deloitte & Touche

Winner: Clive Gwilliam, TMS Financial Solutions

Shortlisted: Simon Hudson-Lund, IFDS

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(Category B14) Hall of Fame Lifetime Achievement Award.

Sponsor: WKFS Compliance OnLine

Winner: John Tattersall, Partner, PwC

Shortlisted: Sir Callum McCarthy, former Chairman, FSA

Section C (For Individuals)

(Category C1) The Compliance Register Personality of the Year Award

Sponsor: Financial Adviser.

Winner: Anthony Belchambers, FOA

Shortlisted: Christopher Taylor, FSC