

# THE 2008 COMPLIANCE REGISTER OStCaR AWARDS

THE ORIGINAL AND MOST PRESTIGIOUS AWARDS FOR  
OUTSTANDING SERVICE TO COMPLIANCE AND REGULATION

Information as at 26 November 2008 (Errors and Omissions Excepted)

## Section A (For Firms)

(Category A1) Best Financial Advice Award

Sponsor: Professional Adviser

1. TMS Financial Solutions Ltd
2. Hargreaves Lansdown

(Category A2) Best Technology Services Award

Sponsor: Chirin Capital

1. Datanomic
2. Fidessa LatentZero

(Category A3) Best Compliance Award

Sponsor: Financial Services Compliance (EU) Ltd

1. Royal Skandia
2. International Financial Data Services

(Category A4) Best Recruitment Services Award

Sponsor: Financial Services Compliance (EU) Ltd

1. Robert Walters
2. Michael Page
3. TCC Recruit
4. MRP Associates

(Category A5) Best Compliance Consultancy Services Award

Sponsor: Eversheds

1. Bovill Limited
2. Deloitte & Touche

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(Category A6) Best Legal Services Award - Litigation, Investigation & Enforcement  
Work

Sponsor: The Compliance Register

1. Memery Crystal LLP
2. Denton Wilde Sapte
3. Peters & Peters

(Category A7) Best Legal Services Award – Regulatory & Commercial

Sponsored by Priest & Co. Ltd

1. Field Fisher Waterhouse
2. White & Case
3. Eversheds

(Category A8) Best Outsourcing Services Award

Sponsored by Priest & Co. Ltd

1. International Financial Data Services
2. Compliancy Services Ltd.

(Category A9) Best Training Services Award

Sponsored by Chirin Capital

1. Eukleia Training
2. Corporate Training Partnerships
3. The Royal Bank of Scotland Group

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## Section B (For Individuals)

### (Category B1) Most Efficient/Helpful FSA Staff Award

Sponsored by Futures & Options Association

1. Katie Hughes
2. Bob Greed
3. Jody Ketteringham

### (Category B2) New Compliance Officer/MLRO of the Year

Sponsored by Eukleia Training

1. Amruta Patel, Aerion Fund Management
2. Oonagh McKinley-Hutchinson, ING Wholesale
3. David Skade, Calyon
4. Kevin H Taylor, BGC International

### (Category B3) Best Compliance Author/Journalist Award

Sponsored by The Compliance Register

1. Annie Mills, Standard Bank
2. Sue Berwick, Compliance OnLine
3. Grant Murgatroyd, ICAEW's Corporate Finance Faculty
4. Hal Austin, Financial Adviser

### (Category B4) Most Innovative Fraud Prevention Officer Award

Sponsored by The Compliance Register

1. Terry Lawley, SJ Berwin
2. Mark Clemison, Vodafone
3. Clement Abrew, UBS

### (Category B5) Best Compliance Trainer Award

Sponsor: Financial Services Compliance (EU) Ltd

1. Dylan Stokes, Barclays Capital
2. Andy Thompsett, Enterprise Learning

### (Category B6) Best Compliance Officer/Staff Award – Outstanding Leadership/Dedication

Sponsor: Denton Wilde Sapte

1. Angus Paterson, NFU Mutual Insurance Society
2. Lillian Brown, Brewin Dolphin
3. Graeme Mitchell, Artemis Investment Management
4. Clare Curtis, Lehman Brothers

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(Category B7) Best Compliance Officer/Staff Award – Most Added Value.

Sponsor: Enterprise Learning

1. Ben Hur, NIBC Bank
2. Annie Mills, Standard Bank

(Category B8) Best Compliance Consultant Award

Sponsor: The Compliance Register

1. Nikki Lovejoy, Deloitte
2. Sophie Espinasse, Enterprise Learning

(Category B9) Best Legal Advisor Award – Litigation, Investigation and Enforcement Work.

Sponsor: The Compliance Register

1. Monty Raphael, Peters & Peters
2. Charles Evans, Norton Rose
3. Jane Marsden, Memery Crystal
4. Richard Caird, Denton Wilde Sapte

(Category B10) Best Legal Advisor Award – Regulatory & Commercial.

Sponsor: The Compliance Register

1. Charlotte Hill, Stephenson Harwood
2. Brett Hillis, Denton Wilde Sapte
3. Kirstene Baillie, Field Fisher Waterhouse
4. Carmen Reynolds, White & Case
5. Jonathan Herbst, Norton Rose
6. Chris Bates, Clifford Chance

(Category B11) Best MLRO Award – Outstanding Leadership/Dedication

Sponsor: The Compliance Register

1. Stephen Hancock, Prudential Assurance Company
2. Clive Shelton, IFDS

(Category B12) Best MLRO Award – Most Added Value.

Sponsor: The Compliance Register

1. David Skade, Calyon
2. Clive Shelton, IFDS
3. Kathy Woodfine, Goldman Sachs

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(Category B13) Best Chief Executive/MD Award.

Sponsor: Deloitte & Touche

1. Jayne Owen, Corporate Training Partnerships
2. Clive Gwilliam, TMS Financial Solutions
3. Simon Hudson-Lund, IFDS

(Category B14) Hall of Fame Lifetime Achievement Award.

Sponsor: WKFS Compliance OnLine

1. Sir Callum McCarthy, former Chairman, FSA
2. John Tattersall, Partner, PwC

## Section C (For Individuals)

(Category C1) The Compliance Register Personality of the Year Award

Sponsor: Financial Adviser.

1. Anthony Belchambers, FOA
2. Christopher Taylor, FSC