

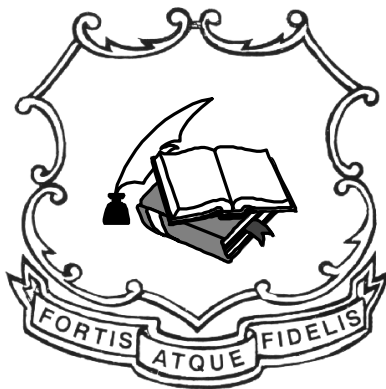
# **THE 2009 MLROs.COM ANNUAL CONFERENCE**

**The Conference for Practitioner MLROs and Senior Executives**

**24 MARCH**

**AT THE CHESTERFIELD HOTEL**

35 Charles Street, Mayfair, London, W1J 5EB  
(Nearest Station: Green Park)



**AN IMPORTANT FORUM FOR SENIOR EXECUTIVES  
AND MLRO PROFESSIONALS FOCUSING ON  
UNDERSTANDING THE MAJOR ISSUES  
ENCOUNTERED IN REAL LIVE SITUATIONS.**

**ORGANISED BY THE COMPLIANCE REGISTER**

Telephone: (01908) 322450, Fax: (01908) 220213  
Email: [Compliance.Register@btinternet.com](mailto:Compliance.Register@btinternet.com)

Websites: [www.ComplianceR.com](http://www.ComplianceR.com); [www.MLROs.com](http://www.MLROs.com)

## THE 2009 MLROs.COM ANNUAL CONFERENCE

### WHY YOU SHOULD ATTEND?

The objectives of this Annual Conference are to:-

- Address key developments and challenges facing MLRO professionals and senior executives in 2009 and beyond; and
- Provide a forum for MLRO professionals and senior executives to network with each other and to share knowledge and experience.

The key sessions being addressed by leading practitioners are:

- Recent Proceeds of Crime Act developments and cases.
- How a serious organised crime gang was busted.
- Things you should know when the Crown Prosecution Service tries to prosecute you, the MLRO.
- Monitoring to prevent internal and external fraud.
- Terrorist financing update.
- How I catch fraudsters.
- SOCA Update – where we are now and what we expect from MLROs.
- Ask the Panel – Q & A Session

**IMPORTANT NOTE:** We are running this conference to help MLROs and other industry practitioners in line with the objectives of MLROs.com. In view of this, the conference fee has been set to cover costs only.

<u>Conference Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Per delegate:	102.17	15.33	£117.50

VAT has been applied at new rate of 15%.

### Sponsorship Opportunities

This is a high quality industry event and presents sponsorship opportunities. For sponsorship enquiries please contact either Ben Hur (Chairman of MLROs.com) on 020 7375 7909 or Ben Goh (Secretary of The Compliance Register) on 07954 104534.

### Reservations, Cancellations & Refunds

Space is limited. To avoid disappointment, please complete and return the Conference Registration Form by fax to (01908) 220213 as soon as possible followed by your cheque in the post. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have faxed us your registration, we will regard it as a firm booking which will be subject to our refunds policy. No refunds will be made for cancellations but we will supply you with the conference presentations provided the conference fee has been paid. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the conference should the need arise.

**THE 2009 MLROs.COM ANNUAL CONFERENCE**  
24 March at The Chesterfield Hotel, Mayfair, London

*PROGRAMME*

<p><b>08.15</b> <i>Registration &amp; Coffee</i></p> <p><b>08.40</b> <i>Chairman's Introduction</i> <b>Ben Hur, UK Compliance Officer and UK MLRO, NIBC Bank N.V.</b></p> <p>Session 1 <b>08.45</b> <i>Proceeds of Crime Act: Recent cases and developments</i> <b>Speaker: Daren Allen, Partner, DLA Piper</b></p> <p>Session 2 <b>09.35</b> <i>How a serious organised crime gang was busted</i> <b>Speaker: Detective Inspector Shane Roberts, BA (Hons), MA, Bedfordshire Police</b></p> <p><b>10.25</b> <i>Morning Coffee</i></p> <p>Session 3 <b>10.45</b> <i>Things an MLRO should know when facing prosecution by the Crown Prosecution Service</i> <b>Speaker: Stephen Judge, Compliance Officer and MLRO, ETX Capital</b></p> <p>Session 4 <b>11.35</b> <i>NTFIU: Terrorist Financing Investigations and SARS Update</i> <b>Speaker: Detective Constable Graham White, NTFIU/City of London Police</b></p> <p>Session 5 <b>12.20</b> <i>Effective Compliance Screening in the Current Climate</i> <b>Speaker: Simon Pearson, Director of Compliance Screening, Datanomic</b></p>	<p><b>12.45</b> <i>Lunch</i></p> <p>Session 6 <b>13.50</b> <i>SOCA Update: Where we are now and what we expect from MLROs including SARS.</i> <b>Speaker: Tracey Guthrie, Principal Dialogue Officer, UK Financial Intelligence Unit, SOCA.</b></p> <p>Session 7 <b>14.40</b> <i>Big Bonuses, Big Bang? How Rewarding Bad Behaviour Busted Our Banks</i> <b>Speakers: Ron Warmington, Director, Fraud Management &amp; Security, GE Money Europe, Middle East and Africa and Gary Miller, Partner, Mishcon de Reya.</b></p> <p><b>15.30</b> <i>Afternoon Tea</i></p> <p>Session 8 <b>15.50</b> <i>Monitoring to prevent internal and external fraud</i> <b>Ben Hur, UK Compliance Officer and UK MLRO, NIBC Bank N.V.</b></p> <p>Session 9 <b>16.40</b> <i>Ask the Panel.</i> <b>(Panellists to include speakers and guests such as Monty Raphael, Partner, Peters &amp; Peters)</b></p> <p><b>17.15</b> <i>Conclusion (17.30: Drinks Reception sponsored by Wolters Kluwer Financial Services)</i></p>
--	---

**Law Society CPD Accreditation**

Members of the Law Society (England & Wales) attending this conference should qualify for 6 hours of CPD credit. Members of other professional bodies may also claim CPD from their respective bodies. Certificates of attendance are available on request.

**Conference Sponsors**

We are grateful to the following sponsors (listed in alphabetical order) without which this conference would not have been possible:-

- **Datanomic Limited**
- **Lexis Nexis**
- **Robert Walters plc**
- **Square Mile Videos**
- **Wolters Kluwer Financial Services**

**THE 2009 MLROs.COM ANNUAL CONFERENCE**  
24 March at The Chesterfield Hotel, Mayfair, London

**REGISTRATION FORM**

*(Please photocopy this form as required.)*

Mr, Mrs, Miss or Title	First Names
------------------------	-------------

Surname	Position
---------	----------

Company
---------

Address
---------

Town
------

Postcode	Telephone	Fax
----------	-----------	-----

Email address
---------------

Please describe any special dietary requirements.
---

**IMPORTANT NOTES**

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only".

2. Registration Forms should be sent by fax to 01908 220213 initially followed by the original and cheque in the post to:-

Ben Goh, Secretary, The Compliance Register,  
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,  
United Kingdom.

3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450.

4. Updated versions of this brochure will be available: [www.ComplianceR.com](http://www.ComplianceR.com)  
Information in this brochure is as known on 19 March 2009.

**Certificate in Compliance Studies ("CCS")**

The CCS will be awarded to those who attend the following four events namely Compliance Management & Practice (17 November 2008), COBS Technical Conference (10 November 2008), MLROs Annual Conference (24 March 2009), and The FSMA Regulatory Regime (29 January 2009).

E & O E