

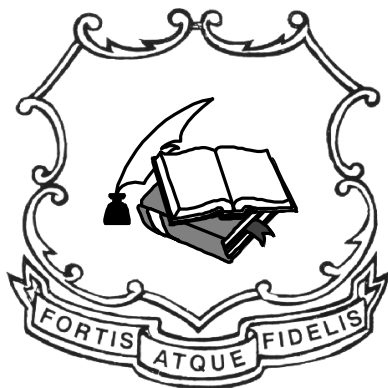
THE 2010 MLROs TOOLKIT CONFERENCE TWO

The Conference for Experienced MLROs
and Senior Executives

3RD DECEMBER

AT THE CHESTERFIELD HOTEL

35 Charles Street, Mayfair, London, W1J 5EB
(Nearest Station: Green Park)



**AN IMPORTANT EVENT FOR EXPERIENCED MLRO
PRACTITIONERS AND SENIOR EXECUTIVES
FOCUSSING ON ENHANCING THEIR SKILLS,
CAPABILITIES AND KNOWLEDGE.**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: (01908) 322450, Fax: (01908) 220213
Email: Compliance.Register@btinternet.com

Websites: www.ComplianceR.com; www.MLROs.com

THE 2010 MLROs TOOLKIT CONFERENCE TWO

WHY YOU SHOULD ATTEND?

This is the second of our series of MLRO conferences for 2010. This conference is targeted at experienced MLROs and Senior Executives.

The Compliance Register, with the kind assistance of MLROs.com, is pleased to offer a great Value for Money training event aimed at the professional needs of experienced MLRO practitioners.

The objectives of this series of conference are to:-

- Provide intensive training and provide delegates with the opportunity to upgrade their skills and knowledge; and
- Provide a forum for MLRO professionals and senior executives to network with each other and to share knowledge and experience.

The key sessions being addressed by leading practitioners are:

- Update on developments in AML law and regulation.
- What MLROs need to know and do under The Bribery Act.
- Lessons from recent FSA enforcement cases.
- How fraudsters infiltrate financial institutions and the importance of high-level monitoring.
- The Road Ahead – Preparing for changes to the regulatory regime.
- People trafficking issues.
- Update on sanctions.
- Producing an effective annual MLRO report.

IMPORTANT NOTE: We are running this conference on a cost-effective basis so that cost should not be a barrier to attendance.

<u>Conference Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Per delegate:	149.00	26.08	£175.08

VAT has been applied at the rate of 17.5%.

Sponsorship Opportunities

This is a high quality industry event and presents sponsorship opportunities. For sponsorship enquiries please contact Ben Goh (Secretary of The Compliance Register) on 07954 104534.

Reservations, Cancellations & Refunds

Space is limited. To avoid disappointment, please complete and return the Conference Registration Form by fax to (01908) 220213 or by email as soon as possible followed by your cheque in the post. Places will be allocated on a “first come first served” basis based on receipt of your registration. Once you have submitted your registration, we will regard it as a firm booking which will be subject to our refunds policy. No refunds will be made for cancellations but we will supply you with the conference presentations provided the conference fee has been paid. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the conference should the need arise.

THE 2010 MLROs TOOLKIT CONFERENCE TWO
(For Experienced MLROs and AML Professionals)
 3 December at The Chesterfield Hotel, Mayfair, London

PROGRAMME

<p>08.00 Registration & Coffee</p> <p>08.25 <i>Chairman's Introduction</i> Ben Hur, MLRO, NIBC Bank N.V. and Chairman, MLROs.com</p> <p>Session 1 08.30 <i>Update On Developments in AML Law and Regulation (e.g. progress following MLR review, recent FATF reports, any EU developments and AML in the context of general financial crime prevention).</i> Speaker: Emma Radmore, Senior Associate & Professional Support Lawyer, SNR Denton</p> <p>Session 2 09.00 <i>What MLROs Need to Know and Do under the Provisions of The Bribery Act</i> Speaker: Daren Allen, Partner, DLA</p> <p>Session 3 09.40 <i>Lessons from recent FSA Enforcement Cases relating to Financial Crime and AML</i> Speaker: Fiona Paddon, Associate, Eversheds</p> <p>10.20 Morning Coffee</p> <p>Session 4 10.40 <i>How Fraudsters Infiltrate Financial Institutions (Real Life Cases) and the Importance of High Level Monitoring</i> Speaker: Alan Sambridge, Director, Apaté Consultancy</p>	<p>Session 5 11.20 <i>Sponsor's Slot</i> Speaker: Terry Day, Director, i-Financial</p> <p>Session 6 11.50 <i>The Road Ahead – Preparing for Changes to the UK Regulatory Regime</i> Speaker: Peter Snowden, Partner, Norton Rose</p> <p>12.30 Lunch</p> <p>Session 7 13.45 <i>People trafficking issues</i> Speaker: Jason Haines, Head of Financial Crime Practice, Ineum Consulting</p> <p>Session 8 14.25 <i>Update on Sanctions</i> Speaker: Stephen Lock, Chairman, IMLPO and Head of Group Financial Crime Prevention, Old Mutual</p> <p>15.05 Afternoon Tea</p> <p>Session 9 15.20 <i>Producing an Effective MLRO Annual Report</i> Speaker: Ben Hur, MLRO, NIBC</p> <p>Session 10 15.55 <i>Panel session – ask the panel a question or two. If a hot topic arises, this session may be used for that.</i> Speaker: Panellists</p> <p>16.25 Conclusion (followed by Drinks Reception to be confirmed)</p>
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Law Society CPD Accreditation

Members of the Law Society (England & Wales) attending this conference should qualify for 6 hours of CPD credit. Members of other professional bodies may also claim CPD from their respective bodies. Certificates of attendance are available on request.

THE 2010 MLROs CONFERENCE TWO
3 December at The Chesterfield Hotel, Mayfair, London

REGISTRATION FORM

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names
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Surname	Position
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Company

Address

Town

Postcode	Telephone	Fax
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Email address

Please describe any special dietary requirements.

IMPORTANT NOTES

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only". We can also invoice you for payment either by cheque or by BACS transfer – please contact us to arrange.

2. Registration Forms should be sent by email to compliance.register@btinternet.com or fax to 01908 220213 initially followed by the original and cheque in the post to:-

Ben Goh, Secretary, The Compliance Register,
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,
United Kingdom.

3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450.

4. Updated versions of this brochure will be available: www.ComplianceR.com
Information in this brochure is as known on 26th November 2010.

5. Annual Certificate in AML Practice ("ACAP")

If you have already attended Conference One in June, the ACAP will be awarded to those who attend this conference.

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