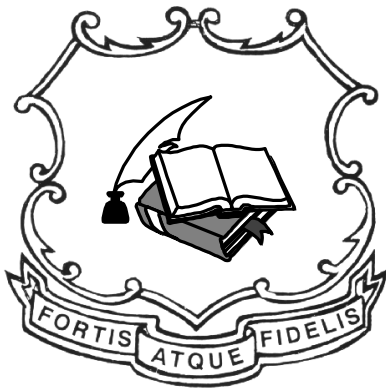


**THE 2010 MLROs TOOLKIT  
CONFERENCE ONE**  
**The Conference for Practitioner MLROs and Senior Executives**

9<sup>TH</sup> JUNE

**AT THE CHESTERFIELD HOTEL**  
35 Charles Street, Mayfair, London, W1J 5EB  
(Nearest Station: Green Park)



**AN IMPORTANT EVENT FOR SENIOR EXECUTIVES  
AND MLRO PROFESSIONALS FOCUSING ON  
UPGRADING THEIR SKILLS AND KNOWLEDGE.**

**ORGANISED BY THE COMPLIANCE REGISTER**

Telephone: (01908) 322450, Fax: (01908) 220213  
Email: [Compliance.Register@btinternet.com](mailto:Compliance.Register@btinternet.com)

Websites: [www.ComplianceR.com](http://www.ComplianceR.com); [www.MLROs.com](http://www.MLROs.com)

## THE 2010 MLROs TOOLKIT CONFERENCE ONE

### WHY YOU SHOULD ATTEND?

This is the first of our series of MLRO conferences for 2010. The second conference is scheduled for 13<sup>th</sup> October.

The Compliance Register, with the kind assistance of MLROs.com, is pleased to once again offer a great Value for Money training event aimed at the professional needs of MLRO practitioners.

The objectives of this series of conference are to:-

- Provide intensive training and provide delegates with the opportunity to upgrade their skills and knowledge; and
- Provide a forum for MLRO professionals and senior executives to network with each other and to share knowledge and experience.

The key sessions being addressed by leading practitioners are:

- The SFO Customer Due Diligence and Risk-based Approach.
- Update from the Dedicated Cheque and Plastic Crime Unit.
- Preparing for an AML-themed visit by the FSA.
- How to produce an optimal SAR.
- AML Transaction Monitoring.
- AML and the Money Transfer Business and other money business services.
- Completed a complicated KYC.
- AML and the Courts

**IMPORTANT NOTE:** We are running this conference on a cost-effective basis so that cost should not be a barrier to attendance.

<u>Conference Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
Per delegate:	149.00	26.08	£175.08

VAT has been applied at the rate of 17.5%.

### Sponsorship Opportunities

This is a high quality industry event and presents sponsorship opportunities. For sponsorship enquiries please contact Ben Goh (Secretary of The Compliance Register) on 07954 104534.

### Reservations, Cancellations & Refunds

Space is limited. To avoid disappointment, please complete and return the Conference Registration Form by fax to (01908) 220213 as soon as possible followed by your cheque in the post. Places will be allocated on a "first come first served" basis based on receipt of your registration. Once you have faxed us your registration, we will regard it as a firm booking which will be subject to our refunds policy. No refunds will be made for cancellations but we will supply you with the conference presentations provided the conference fee has been paid. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the conference should the need arise.

**THE 2010 MLROs CONFERENCE ONE**  
09 June at The Chesterfield Hotel, Mayfair, London

*PROGRAMME*

<p><b>08.20</b> <i>Registration &amp; Coffee</i></p> <p><b>08.50</b> <i>Chairman's Introduction</i> <b>Ben Hur, MLRO, NIBC Bank N.V. and Chairman, MLROs.com</b></p> <p>Session 1 <b>09.00</b> <i>The SFO Customer Due Diligence and Risk-based Approach</i> <b>Speaker: David Skade, MLRO, Calyon</b></p> <p>Session 2 <b>09.45</b> <i>Update from the Dedicated Cheque and Plastic Crime Unit</i> <b>Speaker: Denise Bain, Detective Inspector, DCPU</b></p> <p><b>10.45</b> <i>Morning Coffee</i></p> <p>Session 3 <b>11.15</b> <i>Preparing for an AML theme visit by the FSA</i> <b>Speaker: Ben Hur, MLRO, NIBC Bank N.V.</b></p> <p>Session 4 <b>12.00</b> <i>Stress Free AML Compliance using Advance Technology</i> <b>Speaker: Atul Pande, Head of Products Business, Infracsoft Technologies Limited.</b></p> <p>Session 5 <b>12.20</b> <i>Electronic KYC – How it can work for your business?</i> <b>Speaker: Dave Pope, Director, 192Business.</b></p>	<p><b>12.30</b> <i>Lunch</i></p> <p>Session 6 <b>14.00</b> <i>How to produce an optimal SAR.</i> <b>Speaker: Dennis O'Connor, MLRO Industry Expert.</b> <i>With contribution from Detective Inspector Shane Roberts of Bedfordshire Police</i></p> <p>Session 7 <b>14.45</b> <i>AML Transaction Monitoring</i> <b>Speaker: Ian Horobin, Chief Executive, Omniscision.com .</b> <i>With contribution from Detective Inspector Shane Roberts of Bedfordshire Police</i></p> <p><b>15.45</b> <i>Afternoon Tea</i></p> <p>Session 8 <b>16.00</b> <i>AML and the Money Transfer Business and other money business services</i> <b>Speaker: Dominic Thorncroft, Chairman, UKMTA</b></p> <p>Session 9 <b>16.30</b> <i>Completing a complicated KYC, the issues and how you came up with ideas/sites used to resolve the issues</i> <b>Speaker: Karen Aghayere, Compliance Liaison Officer</b></p> <p>Session 10 <b>17.00</b> <i>AML and the Courts</i> <b>Speaker: Richard Lissack, QC</b></p> <p><b>18.00</b> <i>Conclusion</i> (followed by, Drinks Reception sponsored by Infracsoft Technologies Limited)</p>
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**Law Society CPD Accreditation**

Members of the Law Society (England & Wales) attending this conference should qualify for 6 hours of CPD credit. Members of other professional bodies may also claim CPD from their respective bodies. Certificates of attendance are available on request.

**Conference Sponsors**

We are grateful for the support of the following sponsors:-

- **Voxsmart**
- **WorldCheck**
- **Infracsoft Technologies**
- **192Business**

**THE 2010 MLROs CONFERENCE ONE**  
09 June at The Chesterfield Hotel, Mayfair, London

**REGISTRATION FORM**

*(Please photocopy this form as required.)*

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Fax
Email address		
Please describe any special dietary requirements.		

**IMPORTANT NOTES**

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only". We can also invoice you for payment either by cheque or by BACS transfer – please contact us to arrange.

2. Registration Forms should be sent by fax to 01908 220213 initially followed by the original and cheque in the post to:-

Ben Goh, Secretary, The Compliance Register,  
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,  
United Kingdom.

3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450.

4. Updated versions of this brochure will be available: [www.ComplianceR.com](http://www.ComplianceR.com)  
Information in this brochure is as known on 14<sup>th</sup> May 2010.

**5. Annual Certificate in AML Practice ("ACAP")**

The ACAP will be awarded to those who attend this conference as well as Conference Two scheduled for 13<sup>th</sup> October.

E & O E