

THE 2009 MLRO TOOLKIT EVENT

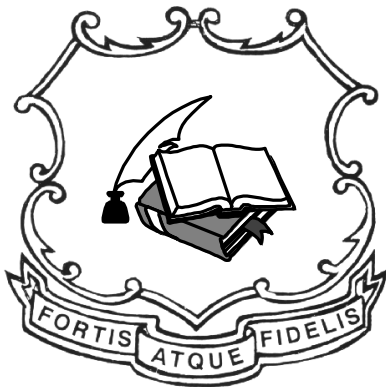
Two days of intensive training led by practitioners

8th and 9th OCTOBER 2009

AT PROSPERO HOUSE CONFERENCE CENTRE

241 Borough High Street, London, SE1 1GA

(Opposite Borough tube station)



**AN IMPORTANT EVENT FOR SENIOR EXECUTIVES
AND MLRO PROFESSIONALS FOCUSING ON
UPGRADING THEIR SKILLS AND KNOWLEDGE.**

ORGANISED BY THE COMPLIANCE REGISTER

Telephone: (01908) 322450, Fax: (01908) 220213

Email: BenGoh.Bizmail@yahoo.co.uk

Websites: www.ComplianceR.com; www.MLROs.com

THE 2009 MLRO TOOLKIT EVENT

WHY YOU SHOULD ATTEND?

MLROs.com is pleased to team with The Compliance Register to once again offer a great Value for Money training event aimed at the professional needs of MLRO practitioners.

The objectives of this Annual Event are to:-

- Provide intensive training and provide delegates with the opportunity to upgrade their skills and knowledge; and
- Provide a forum for MLRO professionals and senior executives to network with each other and to share knowledge and experience.

This Event will spread over two days covering, inter alia:

- MLRO Training provided by the NTFIU (National Terrorist Financial Investigations Unit).
- Updates by SOCA, City Police and Plastic Card Crime Unit.
- Forensics Update provided by two leading expert firms.
- The latest developments on PEPs and KYC provided by four market leaders.

CERTIFICATE IN AML STUDIES: Delegates attending BOTH days in full will be awarded The Certificate in AML Studies by The Compliance Register.

<u>Delegate Fee*</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
a) One Person attending <u>one</u> day only:	100.00	15.00	£115.00
b) One Person attending <u>both</u> days:	180.00	27.00	£207.00
c) Two Persons attending <u>one</u> day only:	180.00	27.00	£207.00
d) Two Persons attending <u>both</u> days:	350.00	52.50	£402.50
e) Three Persons attending <u>one</u> day only:	260.00	39.00	£299.00
f) Three Persons attending <u>both</u> days:	500.00	75.00	£575.00
g) One person on <u>Day 2</u> for <u>half-day</u> only:	60.00	9.00	£69.00

*Larger groups can be accommodated at special rates, please contact us by email or telephone.

Sponsorship Opportunities

This is a high quality industry event and presents excellent sponsorship opportunities. For sponsorship enquiries please contact either Ben Hur (Chairman of MLROs.com) on 020 7375 7909 or Ben Goh (Secretary of The Compliance Register) on 07954 104534.

Reservations, Cancellations & Refunds

Space is limited. To avoid disappointment, please complete and return the Conference Registration Form by fax to (01908) 220213 as soon as possible followed by your cheque in the post. Places will be allocated on a "first come first served" basis based on receipt of your registration. Once you have faxed us your registration, we will regard it as a firm booking which will be subject to our refunds policy. No refunds will be made for cancellations but we will supply you with the conference presentations provided the conference fee has been paid. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the conference should the need arise.

THE 2009 MLRO TOOLKIT EVENT
 8th and 9th October at The Prospero House Conference Centre,
 241 Borough High Street, London, SE1 1GA

PROGRAMME FOR 8th OCTOBER

<p>07.45: <i>Continental Breakfast</i></p> <p>08.30: <i>Registration</i></p> <p>08.55: <i>Chairman's Introduction</i> Ben Hur, UK Compliance Officer and UK MLRO, NIBC Bank N.V.</p> <p>Session One 09.00: MLRO Training Part A: DC Simon Baker and DC Richard Berry of NTFIU. Part B: Simon Davis (who is ex-NTFIU).</p> <p>11.00: Morning Coffee</p> <p>Session Two 11.30: Two break-out sessions a) Fraud Act 2006 and Financial Crime Update b) Proposed new legislation on Bribery and Corruption Conducted by Lucinda Russell-Jones and Robert Wardle of DLA</p>	<p>12.35: Lunch</p> <p>Session Three 14.00: <i>Update from SOCA</i> Speaker: John Plank, SOCA</p> <p>15.00: Afternoon Tea</p> <p>Session Four 15.20: <i>Update on Fraud Issues</i> Speaker: Ben Hur</p> <p>Session Five 16.20: <i>Plastic Card Crime</i> Speaker: Alan Sambridge, Head of Fraud Control, Commercial Cards & Prepaid Operations EMEA, Citi Global Transaction Services</p> <p>17.20: <i>Conclusion of Day One</i> (17.30: Drinks Reception)</p>
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PROGRAMME FOR 9th OCTOBER

<p>07.45: <i>Continental Breakfast</i></p> <p>08.30: <i>Registration</i></p> <p>08.55: <i>Chairman's Introduction</i> Ben Hur, UK Compliance Officer and UK MLRO, NIBC Bank N.V.</p> <p>Sessions 1 to 3 will cover three different areas of Forensics and conducted by two leading firms giving total coverage with lots of very useful information, tips, ideas, investigation techniques, etc.</p> <p>09.00: Session 1 & 2 - Adrian Palmer, Palmer Legal Technologies and Munir Merchant, Infracsoft</p> <p>10.30: Morning Coffee</p> <p>11.00: Session 3 – Todd Cooper, Wolters Kluwer Financial Services</p> <p>12.00: Session 4 – Recording of Mobile Communications: Dave Brown, COO, Obsidian Wireless</p>	<p>12.35: Lunch</p> <p>Sessions 5 to 8 will be updates on PEPs and KYC from four carefully chosen companies. They will aim to present you with a good insight as to what is on offer and which presents value for money.</p> <p>14.00: Session 5 - Presentation by Simon Pearson, Director of Compliance Screening, Datanomic</p> <p>15.00: Afternoon Tea</p> <p>15.30: Session 6 - Presentation by Christophe Amez, Director, Risk & Compliance, Dow Jones</p> <p>16.00 – Session 7 - Presentation by Patric Marshall, EMEA Director, WorldCheck</p> <p>16.50 – Session 8: DVD Presentation by Square Mile Videos</p> <p>17.20: <i>Conclusion of Day Two</i> (17.30: Drinks Reception)</p>
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Law Society CPD Accreditation

Members of the Law Society (England & Wales) attending this event should qualify for 6 hours of CPD credit for each complete day. Members of other professional bodies may also claim CPD from their respective bodies. Certificates of attendance are available on request.

SPONSORS

We are most grateful for the kind support of the following sponsors in holding this event:-

- Datanomic
- Dow Jones
- Obsidian Wireless
- Square Mile Videos
- Robert Walters plc

MEDIA SUPPORT

We are grateful for the kind support of Wolters Kluwer Financial Services Compliance OnLine in promoting this event.

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REGISTRATION FORM FOR DAY ONE

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Fax
Email address		
Please describe any special dietary requirements.		

IMPORTANT NOTES

1. Please make all cheques payable to The Compliance Register Limited and crossed "A/C Payee Only".
2. Registration Forms should be sent by fax to 01908 220213 initially followed by the original and cheque in the post to:-

Ben Goh, Secretary, The Compliance Register,
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,
United Kingdom.
3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450.
4. Updated versions of this brochure will be available: www.ComplianceR.com
5. Information in this brochure is as known on **06 October 2009**.

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REGISTRATION FORM FOR DAY TWO

(Please photocopy this form as required.)

Mr, Mrs, Miss or Title	First Names	
Surname	Position	
Company		
Address		
Town		
Postcode	Telephone	Fax
Email address		
Are you attending for <u>Half day</u> or <u>Full day</u> :		
Please describe any special dietary requirements.		

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